
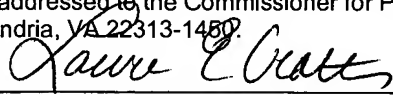


<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> <b>Under 37 CFR 1.97(b), (c), or (d)</b>			Docket No. <b>Chao 1-77-1-14</b>
In re Application of: <b>Hung-Hsiang Jonathan Chao et al.</b>			
Serial No. <b>10/723,450</b>	Filing Date <b>11/26/03</b>	Examiner <b>Unknown</b>	Group Art Unit <b>2666</b>
Title: <b>DISTRIBUTED ARCHITECTURE FOR STATISTICAL OVERLOAD CONTROL AGAINST DISTRIBUTED DENIAL OF SERVICE ATTACKS</b>			
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
<b>37 CFR 1.97(b)</b> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b> 2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.			
<b>37 CFR 1.97(d)</b> 3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.			
<b>Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)</b>			
<input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))			
<input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))			
<input type="checkbox"/> The fee set forth in 37 CFR 1.17(p).			
<b>37 CFR §1.704(d)</b>			
4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.			
 <b>EAMON J. WALL, Attorney</b> Reg. No. 39,414  <b>Moser, Patterson &amp; Sheridan, LLP</b> Attorneys at Law 595 Shrewsbury Avenue, Suite 100 Shrewsbury, New Jersey 07702 732-530-9404		Dated: <u>3/3/04</u>  <b>Certificate of Mailing by First Class Mail</b> I certify that this document is being deposited on <u>3/4/04</u> with the U.S. Postal Service as First Class Mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  _____ Signature of Person Mailing Correspondence <b>Laura E. Crater</b> _____ Typed or Printed Name of Person Mailing Correspondence	

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. Chao 1-77-1-14	Serial No. 10/723,450
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b> (Use several sheets if necessary)		Applicant: Chao et al.	Confirmation No.: 5965
Examiner      Unknown		Filing Date 11/26/03	Group 2666

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						
	A3						
	A4						
	A5						

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	B. Babcock, S. Babu, M. Datar, R. Motwani, and J. Widom, "Models and Issues in Data Stream Systems," In <i>Proceedings of the 21<sup>st</sup> ACM Symposium on Principles of Database Systems</i> , June 2002
	C2	F. Chen, D. Lambert and J.C. Pinheiro, "Incremental Quantile Estimation for Massive Tracking," In <i>Proceedings of the 6<sup>th</sup> International Conference in Knowledge Discovery and Data Mining</i> , August 2000
	C3	C. Estan, G. Varghese, and M. Fisk, "Counting the number of active flows on a high speed link," <i>ACM Computer Communication Review</i> , vol. 32, no. 3, July 2002
	C4	J. Forristal, "Fireproofing against DoS Attack," <i>Network Computing</i> , December 10, 2001, <a href="http://www.networkcomputing.com/1225/1225f3.html">http://www.networkcomputing.com/1225/1225f3.html</a>
	C5	A.C. Gilbert, Y. Kotidis, S. Muthukrishnan, and M.J. Strauss, "How to Summarize the Universe: Dynamic Maintenance of Quantiles," In <i>Proceedings of the 28<sup>th</sup> VLDB Conference</i> , August 2002
	C6	M. Greenwald and S. Khanna, "Space-Efficient Online Computation of Quantile Summaries," In <i>Proceedings of Network and Distributed System Security Symposium</i> , February 2002
	C7	J. Jung, B. Krishnamurthy, and M. Rabinovich, "Flash Crowds and Denial of Service Attacks: Characterization and Implications for CDNs and Web Sites," In <i>Proceedings of the International World Wide Web Conference</i> , May 2002
	C8	R.M. Karp, C.H. Papadimitriou, and S. Shenker, "A Simple Algorithm for Finding Frequent Elements in Streams and Bags," <i>ACM Transactions on Database Systems</i> , tentatively scheduled for the March 2003
	C9	S. Kaseria, J. Pinheiro, C. Loader, M. Karaul, A. Hari, and T. LaPorta, "Fast and Robust Signaling Overload Control," In <i>Proceedings of 9<sup>th</sup> International Conference on Network Protocols (ICNP)</i> , November 2001
	C10	J. Mirkovic, G. Prier, and P. Reiher, "Attacking DDoS at the Source," In <i>Proceedings of 10<sup>th</sup> IEEE International Conference on Network Protocols</i> , November 2002

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.